

# DEMAT ACCOUNT REGISTRATION FORM

INDIVIDUAL     NON-INDIVIDUAL



## CFS Financial Services Pvt. Ltd.

Member : NSE (Capital & F&O Segment)  
SEBI Regn. No.: INB 230769530 / INF 230769530

(Depository Participant - NSDL)  
DPID : IN301918 • DP SEBI Regn. No.: IN-DP-NSDL-162-2000

**Registered & Corporate Office :**  
F-12, Green Park Main, New Delhi-110016  
Tel.: 011-26510593 • Fax : 011-26852812

**Dealing Office :**  
DSS No. 13-14, HUDA Market, Sector-30, Faridabad-121001 (Haryana)  
Tel.: 0129-4263636 • E-mail : dp@cfstradecity.in • Website : www.cfstradecity.in

Client Name ..... Client ID .....

DIS Book No. .... To ..... Issued Date .....

**PLEASE READ THE INSTRUCTIONS BEFORE FILLING THE FORM**

- Please fill up all information in Capital Letters.
- Please ensure that all the columns of demat registration form are duly filled and no box or space is left blank. Please strike off whichever is not applicable.
- Please write your Name & DOB as it appears on PAN Card.
- Please countersign on any overwriting/ correction/ cancellation.
- Pin Code is compulsory in address details.
- E-mail address is compulsory in the form.
- Please affix full sign
- Please note that the names and Signature of the account holders should be exactly in the same order as mentioned on the certificates to be dematerialized.
- Please note that the thumb impression and signature other than English or Hindi or any of the other languages not contained in the 8th schedule of constitution of India, must be attested by a Magistrate or a Notary Public or a special Executive Magistrate.
- Please ensure all documents/ supporting are self attested and is verifies by our officials after comparing the same with original.
- Please provide a copy of cancelled cheque for MICR verification (mandatory).
- Please make upfront payment of AMC & advance as per scheme opted.
- Please note that all communications shall be sent at the address of FIRST HOLDER only.
- Copy of PAN Card shall be clearly visible and self attested.
- To help us serve you better, your Telephone No./ Mobile No. should be provided including relevant STD/ ISD code.

**ALL OF THE FOLLOWING DOCUMENTS ARE REQUIRED (COMPULSORY)  
ALONG WITH THE DEMAT REGISTRATION INDIVIDUAL/ NON INDIVIDUAL FORM**

**FOR INDIVIDUAL**

1. One latest colored photographs signed by the client.
2. Proof of identity showing details such as number, date & place of issue, date of validity and photograph (any one of the following)
  - a.  PAN Card
  - b.  Driving License
  - c.  Voter ID
  - d.  Passport copy (pages containing name, address, photo and validity)
3. Address proof for both the correspondence & permanent address (any of the following):
  - a.  Voter ID
  - b.  Ration Card
  - c.  Driving License
  - d.  Passport copy (pages containing name, address, photo and validity)
  - e.  Photocopy of bank passbook/ bank statement (not older than six months and should be attested by the bank with sign & stamp)
  - f.  Electricity/ telephone/ water bill (not older then two months), only of fixed line (MTNL & BSNL)
  - g.  Self declaration by High Court/ Supreme Court judges, giving the new address in respect of their own accounts.
  - h.  Photo Identity Card or document with applicant's photo/ address issued by\*\*\*
    - Central Govt./State Govt. and its departments
    - Statutory Authorizes/ Regulatory Authorities
    - Public Sector Undertakings
    - Public Financial Institutions
    - Scheduled Commercial Banks
    - Colleges Affiliated to Universities
    - Professional Bodies such as ICAI, ICWAI, ICSI, bar council etc. to their members

\*\*\* Can be taken as additional proof of address.
4. Proof of Bank Account:  
Cancel Cheque with the client's name printed on it. In case client's name is not printed on the cheque, a copy of Bank statement/ Pass-book with the latest entries should be enclosed.

**FOR NRI ACCOUNTS**

1. PAN Card
2. Indian address and foreign address proof
3. Copy of passport (all pages)
4. Bank verification letter indicating type of A/Cas NRI/NRO/ NRE
5. In case of place of birth is not India proof of applicant being persons of Indian origin is required.
6. Please provide a copy of the permission letter from RBI/an authorized dealer in case of NRI account.

**FOR COMPANY ACCOUNTS**

1. Copy of PAN card of the company
2. Details of Directors (Includes address proof & identity proof. All supporting documents for Directors are as per individual requirement)
3. A passport size photograph of the director/ authorised official.
4. Name of Authorised Signatory (ies), Designation, Photograph and their signatures.
5. Certified copy of Board resolution as per attached format.
6. Proof of address evidenced by documents registered with registering authority or bank statement or agreement for sale or leave and license agreement or acknowledged copy of Income Tax Return.
7. Certified True copy of the Memorandum & Articles of association is required with Company Rubber Stamp and Director Signature.
8. Form 32 required in case the directors name is not present in the MOA
9. Bank proof of Corporate entity
10. Company stamp required to be affixed wherever the director signs on the agreement/KYC

**FOR HUF ACCOUNTS**

1. All documents as per "individual".
2. Karta's signature to be accompanied with the HUF stamp wherever signature are required on the demat registration form.
3. PAN Card of HUF
4. HUF Family Declaration
5. HUF NOC Declaration by family members/ coparceners.

**PAN CARD IS MANDATORY**



# CFS Financial Services Pvt. Ltd.

DP : NSDL • DP ID : IN301918 • SEBI REGN. NO.: IN-DP-NSDL-162-2000

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DSS No. 13-14, HUDA Market, Sector-30, Faridabad - 121001 (Haryana)  
Tel.: 0129-4263636 • E-mail : dp@cfstradecity.in • Website : www.cfstradecity.in

## ACCOUNT OPENING FORM (FOR INDIVIDUALS)

(To be filled by the Depository Participant)

Client ID		Date	D	D	M	M	Y	Y	Y	Y
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I/We request you to open a depository account in my/our name as per following details :

(Please fill all the details in CAPITAL/BLOCK LETTERS only)

### A. TYPE OF ACCOUNT (Please tick whichever is applicable)

<input type="checkbox"/> Ordinary Resident	<input type="checkbox"/> NRI-Repatriable	<input type="checkbox"/> Margin	<input type="checkbox"/> Promoter	<input type="checkbox"/> HUF
<input type="checkbox"/> Qualified Foreign Investor	<input type="checkbox"/> NRI-Non Repatriable	<input type="checkbox"/> Foreign National	<input type="checkbox"/> Others (Specify) _____	

### B. DETAILS OF ACCOUNT HOLDER(S)

<b>Sole/First Holder's Name</b>		PAN																																	
Father's/Husband Name																																			
Local / Permanent Address																		PIN Code																	
Correspondence Address																		PIN Code																	
Telephone No.		Mobile No.																																	
Fax Number		E-mail ID :																																	
Occupation																																			

<b>Second Holder's Name</b>		PAN																																	
Father's/Husband Name																																			
Local / Permanent Address																		PIN Code																	
Correspondence Address																		PIN Code																	
Telephone No.		Mobile No.																																	
Fax Number		E-mail ID :																																	
Occupation																																			

<b>Third Holder's Name</b>		PAN																																	
Father's/Husband Name																																			
Local / Permanent Address																		PIN Code																	
Correspondence Address																		PIN Code																	
Telephone No.		Mobile No.																																	
Fax Number		E-mail ID :																																	
Occupation																																			

<b>C.</b>	For HUF, Association of Persons (AOP), Partnership Firm, Unregistered Trust, etc., although the account is opened in the name of the natural persons, the name & PAN of the HUF, Association of Persons (AOP), Partnership Firm, Unregistered Trust, etc., should be mentioned below :										
	Name	PAN									

**D. BANK DETAILS**

1.	Bank Account Type	<input type="checkbox"/> Saving <input type="checkbox"/> Current <input type="checkbox"/> Other (Pl. Specify) _____											
2.	Bank Account Number												
3.	Bank Name												
4.	Branch Address												
		City/Town/Village						Pin Code					
		State						Country					
5.	MICR Code												
6.	IFSC												

**E. In Case of NRIs/Foreign Nationals**

Foreign Address														
RBI Approval Reference Number						RBI Approval Date	D	D	M	M	Y	Y	Y	Y

**F. STANDING INSTRUCTIONS**

1.	I/We authorise you to receive credits automatically into my/our account	<input type="checkbox"/> Yes <input type="checkbox"/> No	
2.	Account to be operated through Power of Attorney (PoA)	<input type="checkbox"/> Yes <input type="checkbox"/> No	
3.	<b>SMS Alert facility :</b> [Mandatory if you are giving Power of Attorney (PoA). Ensure that the mobile number is provided in the KYC Application Form]		
	<b>Sr. No.</b>	<b>Holder</b>	<b>Yes</b>
	1.	Sole / First Holder	<input type="checkbox"/>
	2.	Second Holder	<input type="checkbox"/>
	3.	Third Holder	<input type="checkbox"/>

**G. GUARDIAN DETAILS (where sole holder is a minor) :**

[For account of a minor, two KYC/KRA Application Forms must be filled i.e. one for the guardian and another for the minor (to be signed by guardian)]											
Guardian Name											
PAN											
Relationship of Guardian with Minor											

**H. NOMINATION OPTION**

<input type="checkbox"/> I/We wish to make a nomination. (As per details given below)	<input type="checkbox"/> I/We do not wish to make a nomination. (Strike off the nomination details below)
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## NOMINATION DETAILS

I/We wish to make a nomination and do hereby nominate the following person in whom all rights and/or amount payable in respect of securities held in the Depository by me/us in the said beneficiary owner account shall vest in the event of my/our death.

1.	Name of the Nominee (Mr./Ms.)							Photograph of Nominee
2.	Relationship with the Applicant (if any)							
3.	Address of Nominee							
		City/Town/Village				Pin Code		
		State				Country		
4.	Contact Details of Nominee	Tel. (Off.)				Tel. (Res.)		
		Fax No.				Mobile No.		
		E-mail ID						
5.	Signature of Nominee							

### To be filled-up (Sr. Nos. 6-11) only if nominee is a minor :

6.	Date of Birth (in case of minor nominee)	D	D	M	M	Y	Y	Y	Y	Photograph of guardian (for minor nominee)
7.	Name of Guardian (Mr./Ms.) (in case of minor nominee)									
8.	Address of Guardian									
		City/Town/Village				Pin Code				
		State				Country				
9.	Contact Details of Guardian	Tel. (Off.)				Tel. (Res.)				
		Fax No.				Mobile No.				
		E-mail ID								
10.	Relationship of Guardian with nominee					11. Signature of Guardian				
Signature of two Witness for nomination										

Name of Witness	Address	Signature of Witness with Date
a)	_____	
b)	_____	

Signatures



Sole/First Holder Signature



Second Holder Signature



Third Holder Signature

(3)

**ADDITIONAL FINANCIAL DETAILS**




Financial Status (Annual Income)	<input type="checkbox"/> Below ₹ 1 Lac	<input type="checkbox"/> ₹ 1-5 Lac	<input type="checkbox"/> ₹ 5-10 Lac	<input type="checkbox"/> ₹ 10-25 Lac	<input type="checkbox"/> More than ₹ 25 Lacs
Nature of Business (if any)					

**PLEASE ATTACH RECENT PASSPORT SIZE PHOTOGRAPHS IN THE SPACE PROVIDED BELOW :**

Sole / First Holder	Second Holder	Third Holder
Please affix your recent passport size photograph and sign across it	Please affix your recent passport size photograph and sign across it	Please affix your recent passport size photograph and sign across it

**DECLARATION**

The rules and regulations of the Depository and Depository Participants pertaining to an account which are in force now have been read by me/us and I/we have understood the same and I/we agree to abide by and to be bound by the rules as are in force from time to time for such accounts. I/we hereby declare that the details furnished above are true and correct to the best of my/our knowledge and belief and I/we undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting. I am/we are aware that I/we may be held liable for it. In case non-resident account, I/we also declare that I/we have complied and will continue to comply with FEMA regulations.

	Sole / First Holder / Guardian (Mr./Ms.) (in case of Minor)	Second Holder (Mr./Ms.)	Third Holder (Mr./Ms.)
Name			
Signatures			

**Notes :**

1. All communication shall be sent at the address of the Sole/First holder only.
2. Thumb impressions and signatures other than English or Hindi or any of the other language not contained in the 8th Schedule of the Constitution of India must be attested by a Magistrate or a Notary Public or a Special Executive Magistrate.
3. Notes :  
Instructions related to nomination, are as below:
  - i. The nomination can be made only by individuals holding beneficiary owner accounts on their own behalf singly or jointly. Non- individuals including society, trust, body corporate, partnership firm, karta of Hindu Undivided Family, holder of power of attorney cannot nominate. If the account is held jointly all joint holders will sign the nomination form.
  - ii. A minor can be nominated. In that event, the name and address of the Guardian of the minor nominee shall be provided by the beneficial owner.
  - iii. The Nominee shall not be a trust, society, body corporate, partnership firm, karta of Hindu Undivided Family or a power of Attorney holder. A non-resident Indian can be a Nominee, subject to the exchange controls in force, from time to time.
  - iv. Nomination in respect of the beneficiary owner account stands rescinded upon closure of the beneficiary owner account. Similarly, the nomination in respect of the securities shall stand terminated upon transfer of the securities.
  - v. Transfer of securities in favour of a Nominee shall be valid discharge by the depository and the Participant against the legal heir.
  - vi. The cancellation of nomination can be made by individuals only holding beneficiary owner accounts on their own behalf singly or jointly by the same persons who made the original nomination. Non- individuals including society, trust, body corporate, partnership firm, karta of Hindu Undivided Family, holder of power of attorney cannot cancel the nomination. If the beneficiary owner account is held jointly, all joint holders will sign the cancellation form.
  - vii. On cancellation of the nomination, the nomination shall stand rescinded and the depository shall not be under any obligation to transfer the securities in favour of the Nominee.
4. Strike off whichever is not applicable.



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## ACCOUNT OPENING FORM (FOR NON-INDIVIDUALS)

(To be filled by the Depository Participant)

Client ID		Date	D	D	M	M	Y	Y	Y	Y
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I/We request you to open a depository account in my/our name as per following details :

(Please fill all the details in CAPITAL/BLOCK LETTERS only)

### A. TYPE OF ACCOUNT (Please tick whichever is applicable)

<input type="checkbox"/> Body Corporate	<input type="checkbox"/> FI	<input type="checkbox"/> FII	<input type="checkbox"/> Qualified Foreign Investor	<input type="checkbox"/> Mutual Fund
<input type="checkbox"/> Trust	<input type="checkbox"/> Bank	<input type="checkbox"/> CM	<input type="checkbox"/> Others (Specify) _____	

### B. DETAILS OF ACCOUNT HOLDER(S)

<b>Sole/First Holder's Name</b>		PAN														
Father's/Husband Name																
Local / Permanent Address											PIN Code					
Correspondence Address											PIN Code					
Telephone No.		Mobile No.														
Fax Number		E-mail ID :														
Occupation																

<b>Second Holder's Name</b>		PAN														
Father's/Husband Name																
Local / Permanent Address											PIN Code					
Correspondence Address											PIN Code					
Telephone No.		Mobile No.														
Fax Number		E-mail ID :														
Occupation																

<b>Third Holder's Name</b>		PAN														
Father's/Husband Name																
Local / Permanent Address											PIN Code					
Correspondence Address											PIN Code					
Telephone No.		Mobile No.														
Fax Number		E-mail ID :														
Occupation																

<b>C.</b>	For HUF, Association of Persons (AOP), Partnership Firm, Unregistered Trust, etc., although the account is opened in the name of the natural persons, the name & PAN of the HUF, Association of Persons (AOP), Partnership Firm, Unregistered Trust, etc., should be mentioned below :									
	Name	PAN								

**D. In Case of FIIs/Others (as may be applicable)**

Foreign Address										
		PIN Code								
RBI Approval Reference Number		RBI Approval Date	D	D	M	M	Y	Y	Y	Y
SEBI Registration Number (For FIIs)										

**E. BANK DETAILS**

1.	Bank Account Type	<input type="checkbox"/> Saving	<input type="checkbox"/> Current	<input type="checkbox"/> Other (Pl. Specify) _____	
2.	Bank Account Number				
3.	Bank Name				
4.	Branch Address				
		City/Town/Village		Pin Code	
		State		Country	
5.	MICR Code				
6.	IFSC				

**F. CLEARING MEMBER DETAILS (to be filled up by Clearing Members only)**

1.	Name of Stock Exchange	
2.	Name of Clearing Corporation/Clearing House	
3.	Clearing Member ID	
4.	SEBI Registration Number	
5.	Trade Name	
6.	CM-BP-ID (to be filled up by Participant)	

**G. STANDING INSTRUCTIONS**

1.	We authorise you to receive credits automatically into our account	<input type="checkbox"/> Yes	<input type="checkbox"/> No
2.	Account to be operated through Power of Attorney (PoA)	<input type="checkbox"/> Yes	<input type="checkbox"/> No
3.	SMS Alert facility :		
	Sr. No.	Holder	
	1.	Sole / First Holder	<input type="checkbox"/> Yes <input type="checkbox"/> No
	2.	Second Holder	<input type="checkbox"/> Yes <input type="checkbox"/> No
	3.	Third Holder	<input type="checkbox"/> Yes <input type="checkbox"/> No



**ADDITIONAL FINANCIAL DETAILS**

Financial Status (Annual Income)	<input type="checkbox"/> Below ₹ 1 Lac	<input type="checkbox"/> ₹ 1-5 Lac	<input type="checkbox"/> ₹ 5-10 Lac	<input type="checkbox"/> ₹ 10-25 Lac	<input type="checkbox"/> ₹ 25 Lacs-1crore	<input type="checkbox"/> More than ₹ 1crore
Nature of Business (if any)						




**PLEASE ATTACH RECENT PASSPORT SIZE PHOTOGRAPHS IN THE SPACE PROVIDED BELOW :**

Sole / First Holder	Second Holder	Third Holder
Please affix your recent passport size photograph and sign across it	Please affix your recent passport size photograph and sign across it	Please affix your recent passport size photograph and sign across it

**DECLARATION**

The rules and regulations of the Depository and Depository Participants pertaining to an account which are in force now have been read by us and we have understood the same and we agree to abide by and to be bound by the rules as are in force from time to time for such accounts. We hereby declare that the details furnished above are true and correct to the best of our knowledge and belief and we undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, we are aware that we may be held liable for it.

Authorised Signatories (Enclose a Board Resolution for Authorised Signatories)

Holder	Name	Signature
Sole/First Signatory		 3
Second Signatory		 3
Third Signatory		 3

**Mode of Operation for Sole/First Holder (in case of joint holdings, all the holders must sign)**

<input type="checkbox"/> Any one singly	
<input type="checkbox"/> Jointly by	
<input type="checkbox"/> As per resolution	
<input type="checkbox"/> Others (Pl. Specify)	

Notes : 1. In case of additional signatures, separate annexures should be attached to the application form. 2. Thumb impressions and signatures other than English or Hindi or any of the other language not contained in the 8th Schedule of the Constitution of India must be attested by a Magistrate or a Notary Public or a Special Executive Magistrate. 3. Strike off whichever is not applicable.

**INTRODUCER DETAILS**

Introduction by an existing account holder of **CFS FINANCIAL SERVICES PVT. LTD.**

I confirm the Identity, occupation of the Applicant(s)

Introducer's Name \_\_\_\_\_

DP ID	I	N	3	0	1	9	1	8	BO ID								
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Signature of Introducer :

(To be verified by DP Official)

## RIGHTS AND OBLIGATIONS OF BENEFICIAL OWNER AND DEPOSITORY PARTICIPANT AS PRESCRIBED BY SEBI & DEPOSITORIES

### General Clause

1. The Beneficial Owner and the Depository participant (DP) shall be bound by the provisions of the Depositories Act, 1996, SEBI (Depositories and Participants) Regulations, 1996, Rules and Regulations of Securities and Exchange Board of India (SEBI), Circulars/Notifications/Guidelines issued there under, Bye Laws and Business Rules/Operating Instructions issued by the Depositories and relevant notifications of Government Authorities as may be in force from time to time.
2. The DP shall open/activate demat account of a beneficial owner in the depository system only after receipt of complete Account opening form, KYC and supporting documents as specified by SEBI from time to time.

### Beneficial Owner information

3. The DP shall maintain all the details of the beneficial owner(s) as mentioned in the account opening form, supporting documents submitted by them and/or any other information pertaining to the beneficial owner confidentially and shall not disclose the same to any person except as required by any statutory, legal or regulatory authority in this regard.
4. The Beneficial Owner shall immediately notify the DP in writing, if there is any change in details provided in the account opening form as submitted to the DP at the time of opening the demat account or furnished to the DP from time to time.

### Fees/Charges/Tariff

5. The Beneficial Owner shall pay such charges to the DP for the purpose of holding and transfer of securities in dematerialized form and for availing depository services as may be agreed to from time to time between the DP and the Beneficial Owner as set out in the Tariff Sheet provided by the DP. It may be informed to the Beneficial Owner that "no charges are payable for opening of demat accounts"
6. In case of Basic Services Demat Accounts, the DP shall adhere to the charge structure as laid down under the relevant SEBI and/or Depository circulars/directions /notifications issued from time to time.
7. The DP shall not increase any charges/tariff agreed upon unless it has given a notice in writing of not less than thirty days to the Beneficial Owner regarding the same.

### Dematerialization

8. The Beneficial Owner shall have the right to get the securities, which have been admitted on the Depositories, dematerialized in the form and manner laid down under the Bye Laws, Business Rules and Operating Instructions of the depositories.

### Separate Accounts

9. The DP shall open separate accounts in the name of each of the beneficial owners and securities of each beneficial owner shall be segregated and shall not be mixed up with the securities of other beneficial owners and/or DP's own securities held in dematerialized form.
10. The DP shall not facilitate the Beneficial Owner to create or permit any pledge and /or hypothecation or any other interest or encumbrance over all or any of such securities submitted for dematerialization and/or held in demat account except in the form and manner prescribed in the Depositories Act, 1996, SEBI (Depositories and Participants) Regulations, 1996 and Bye-Laws/Operating Instructions/Business Rules of the Depositories.

### Transfer of Securities

11. The DP shall effect transfer to and from the demat accounts of the Beneficial Owner only on the basis of an order, instruction, direction or mandate duly authorized by the Beneficial Owner and the DP shall maintain the original documents and the audit trail of such authorizations.
12. The Beneficial Owner reserves the right to give standing instructions with regard to the crediting of securities in his demat account and the DP shall act according to such instructions.

### Statement of account

13. The DP shall provide statements of accounts to the beneficial owner in such form and manner and at such time as agreed with the Beneficial Owner and as specified by SEBI/depository in this regard.
14. However, if there is no transaction in the demat account, or if the balance has become Nil during the year, the DP shall send one physical statement of holding annually to such BOs and shall resume sending the transaction statement as and when there is a transaction in the account.
15. The DP may provide the services of issuing the statement of demat accounts in an electronic mode if the Beneficial Owner so desires. The DP will furnish to the Beneficial Owner the statement of demat accounts under its digital signature, as governed under the Information Technology Act, 2000. However if the DP does not have the facility of providing the statement of demat account in the electronic mode, then the Participant shall be obliged to forward the statement of demat accounts in physical form.
16. In case of Basic Services Demat Accounts, the DP shall send the transaction statements as mandated by SEBI and/or Depository from time to time.

### **Manner of Closure of Demat account**

17. The DP shall have the right to close the demat account of the Beneficial Owner, for any reasons whatsoever, provided the DP has given a notice in writing of not less than thirty days to the Beneficial Owner as well as to the Depository. Similarly, the Beneficial Owner shall have the right to close his/her demat account held with the DP provided no charges are payable by him/her to the DP. In such an event, the Beneficial Owner shall specify whether the balances in their demat account should be transferred to another demat account of the Beneficial Owner held with another DP or to rematerialize the security balances held.
18. Based on the instructions of the Beneficial Owner, the DP shall initiate the procedure for transferring such security balances or rematerialize such security balances within a period of thirty days as per procedure specified from time to time by the depository. Provided further, closure of demat account shall not affect the rights, liabilities and obligations of either the Beneficial Owner or the DP and shall continue to bind the parties to their satisfactory completion.

### **Default in payment of charges**

19. In event of Beneficial Owner committing a default in the payment of any amount provided in Clause 5 & 6 within a period of thirty days from the date of demand, without prejudice to the right of the DP to close the demat account of the Beneficial Owner, the DP may charge interest at a rate as specified by the Depository from time to time for the period of such default.
20. In case the Beneficial Owner has failed to make the payment of any of the amounts as provided in Clause 5&6 specified above, the DP after giving two days notice to the Beneficial Owner shall have the right to stop processing of instructions of the Beneficial Owner till such time he makes the payment along with interest, if any.

### **Liability of the Depository**

21. As per Section 16 of Depositories Act, 1996,
  1. Without prejudice to the provisions of any other law for the time being in force, any loss caused to the beneficial owner due to the negligence of the depository or the participant, the depository shall indemnify such beneficial owner.
  2. Where the loss due to the negligence of the participant under Clause (1) above, is indemnified by the depository, the depository shall have the right to recover the same from such participant.

### **Freezing/ Defreezing of accounts**

22. The Beneficial Owner may exercise the right to freeze/defreeze his/her demat account maintained with the DP in accordance with the procedure and subject to the restrictions laid down under the Bye Laws and Business Rules/Operating Instructions.

23. The DP or the Depository shall have the right to freeze/defreeze the accounts of the Beneficial Owners on receipt of instructions received from any regulator or court or any statutory authority.

### **Redressal of Investor grievance**

24. The DP shall redress all grievances of the Beneficial Owner against the DP within a period of thirty days from the date of receipt of the complaint.

### **Authorized representative**

25. If the Beneficial Owner is a body corporate or a legal entity, it shall, along with the account opening form, furnish to the DP, a list of officials authorized by it, who shall represent and interact on its behalf with the Participant. Any change in such list including additions, deletions or alterations thereto shall be forthwith communicated to the Participant.

### **Law and Jurisdiction**

26. In addition to the specific rights set out in this document, the DP and the Beneficial owner shall be entitled to exercise any other rights which the DP or the Beneficial Owner may have under the Rules, Bye Laws and Regulations of the respective Depository in which the demat account is opened and circulars/notices issued there under or Rules and Regulations of SEBI.
27. The provisions of this document shall always be subject to Government notification, any rules, regulations, guidelines and circulars/ notices issued by SEBI and Rules, Regulations and Bye-laws of the relevant Depository, where the Beneficial Owner maintains his/ her account, that may be in force from time to time.
28. The Beneficial Owner and the DP shall abide by the arbitration and conciliation procedure prescribed under the Bye-laws of the depository and that such procedure shall be applicable to any disputes between the DP and the Beneficial Owner.
29. Words and expressions which are used in this document but which are not defined herein shall unless the context otherwise requires, have the same meanings as assigned thereto in the Rules, Bye-laws and Regulations and circulars/notices issued there under by the depository and /or SEBI
30. Any changes in the rights and obligations which are specified by SEBI/Depositories shall also be brought to the notice of the clients at once.
31. If the rights and obligations of the parties hereto are altered by virtue of change in Rules and regulations of SEBI or Bye-laws, Rules and Regulations of the relevant Depository, where the Beneficial Owner maintains his/her account, such changes shall be deemed to have been incorporated herein in modification of the rights and obligations of the parties mentioned in this document.



Sole/First Holder Signature



Second Holder Signature



Third Holder Signature

To,  
**CFS FINANCIAL SERVICES PVT. LTD.**  
Dealing Office : DSS No. 13-14, HUDA Market, Sector-30,  
Faridabad-121001 (Haryana)

Dear Sirs,

**Sub: Request to provide the Statements through e-mail and/or website.**

Client ID. : \_\_\_\_\_ . E-mail ID. \_\_\_\_\_

You are hereby requested to provide me/us transaction statement(s)/bills or any other document(s) required to be sent to me under my specific request or as per the statutory requirement(s) through e-mail and/or website. I/We am/are aware and understand the following:-

1. I/We am/are aware that I/we will not receive the transaction statements/bills etc in paper form.
2. I/We understand that website(s) would mean www.cfstradecity.in and or any of it's pages/links/applets or any other website that CFS FINANCIAL SERVICES PVT. LTD. may inform from time to time.
3. I/We will take all the necessary steps to ensure confidentiality and secrecy of the login name and password of the DP back office /email account.
4. I/We am/are aware that the transaction statement/bills etc. may be accessed by other entities in case the confidentiality/secrecy of the login name and password is compromised.
5. I/We shall immediately inform CFS FINANCIAL SERVICES PVT. LTD. about change in email address, if any.
6. I/we agree that till the time the change in email address is not updated in the records of CFS FINANCIAL SERVICES PVT. LTD., the statements/bills etc. sent to the original email address shall deem to be a valid delivery and I/we shall keep CFS FINANCIAL SERVICES PVT. LTD. and/or any of it's employees, directors, agents, associates indemnified against any loss suffered by them and also not hold them responsible for any loss suffered by me/us on this account.
7. I/We shall log off from website/DP back office/e-mail service, whenever I/we am/are not accessing or using the service. Any liability incurred to me/ us as a consequence of the not logging off the service shall be borne solely by me/us.
8. I/we agree that CFS FINANCIAL SERVICES PVT. LTD. shall not take cognizance of out-of-office/out-of-station auto replies and I/we am/are deemed to have received above referred documents. Non-receipt of bounced mail notification by CFS FINANCIAL SERVICES PVT. LTD. shall amount to delivery of the above-referred documents at the email id provided by me/us.
9. I/we agree that I/we shall immediately notify CFS FINANCIAL SERVICES PVT. LTD. in writing with full details if I/we discover or suspect, unauthorized access through my/our DP back office password or Notice discrepancies that might be attributable to unauthorized access, Forget DP back office password or Discover a security flaw.
10. I/we understand that I/we am/are responsible for installing, operating and maintaining the communication equipment (including but not limited to personal computers and modems), software, tools, telephone or alternative service required at my/our end and connectivity required for using and accessing the website(s), back office , email account(s) , any above referred documents or any other related services at my/our own cost.
11. CFS FINANCIAL SERVICES PVT. LTD. will not ( and shall not be under any obligation to ) assist me/us in operating, installing and / or maintaining any such communication equipment/infrastructure or obtaining any permits, clearances etc for establishing connectivity or linkages to the website(s) of the participant.
12. CFS FINANCIAL SERVICES PVT. LTD.'s own records of the transactions/holdings/bills etc. mentioned through computer systems or otherwise shall be accepted as conclusive and binding on me/us for all purposes.
13. I/we understand and agree that the initial password sent by CFS FINANCIAL SERVICES PVT. LTD. of DP back office should only be used for the first login. I/we agree to immediately reset my/our password(s) upon the first login. I/we understand and agree that if I/we do not change the password(s) after the first login, I/we shall keep the CFS FINANCIAL SERVICES PVT. LTD. and/or any of it's employees, directors, agents, associates indemnified against any loss suffered by them and also not hold them responsible for any loss suffered by me/us on this account.
14. I/we understand and agree that the records of the CFS FINANCIAL SERVICES PVT. LTD. with respect to delivery of above referred documents shall be conclusive evidence of any such documents delivered.
15. CFS FINANCIAL SERVICES PVT. LTD. and I/we shall have the right to terminate such service provided a written notice is given at least 10 days in advance to the other party.
16. I/We shall approach CFS FINANCIAL SERVICES PVT. LTD. within 24 hours from the delivery/display of the above data/documents etc. intimating any inability to access or download of the above data, document, data etc. failing which it shall be deemed to be the due delivery and acceptance of the data, document, data etc. sent to me/us via electronic mail/displayed by CFS FINANCIAL SERVICES PVT. LTD./on the website of CFS FINANCIAL SERVICES PVT. LTD. In all cases, CFS FINANCIAL SERVICES PVT. LTD. reserves the right to determine the validity of my/our objection to the transaction.

Thanking You

Yours truly,



\_\_\_\_\_  
Sole/First Holder Signature



\_\_\_\_\_  
Second Holder Signature



\_\_\_\_\_  
Third Holder Signature

Date : \_\_\_\_\_

Place : \_\_\_\_\_

## DECLARATION FOR AVAILING OF BASIC SERVICE DEMAT ACCOUNT (BSDA) FACILITY

To,

Date	D	D	M	M	Y	Y	Y	Y
------	---	---	---	---	---	---	---	---

**CFS FINANCIAL SERVICES PVT. LTD.**

Dealing Office : DSS No. 13-14, HUDA Market, Sector-30,  
Faridabad-121001 (Haryana)

Dear Sir / Madam,




- I / We wish to avail the BSDA facility for the new account for which we have submitted my / our account opening form.
- I / We do not wish to avail the BSDA facility for the new account for which we have submitted my / our account opening form.

DP ID	I	N	3	0	1	9	1	8	Client ID								
-------	---	---	---	---	---	---	---	---	-----------	--	--	--	--	--	--	--	--

	NAME	PAN
Sole/First Holder		
Second Holder		
Third Holder		

I / We have read and understood the regulatory (SEBI) guidelines for opening a Basic Services Demat Account and undertake to comply with the aforesaid guidelines from time to time. I/We also undertake to comply with the guidelines issued by any such authority for BSDA facility from time to time. I/We also agree that in case our demat account opened under BSDA facility does not meet the eligibility for BSDA facility as per guidelines issued by SEBI or any such authority at any point of time, my/our BSDA account will be converted to regular demat account without further reference to me/us and will be levied charges as applicable to regular accounts as informed by the DP.

I, the first / sole holder also hereby declare the I do not have / propose to have any other demat account across depositories as a first / sole holder.

	Signature
Sole/First Holder	 <sup>6</sup>
Second Holder	 <sup>6</sup>
Third Holder	 <sup>6</sup>



# CFS Financial Services Pvt. Ltd.

DP : NSDL • DP ID : IN301918 • SEBI REGN. NO.: IN-DP-NSDL-162-2000

Dealing Office : DSS No. 13-14, HUDA Market, Sector-30, Faridabad - 121001 (Haryana)

Tel.: 0129-4263636 • E-mail : dp@cfstradecity.in • Website : www.cfstradecity.in

## SCHEDULE OF DEMAT CHARGES (w.e.f. 1-4-2013)

Particulars	NORMAL	BSDA			Basis of Recovery
1. A/c Opening Charges	Nil	Nil			
2. Stamp Paper Cost	As Actual	As Actual			Payable Up-front at the time of request
3. Annual Maintenance Charge (Dormant Clients)	Rs. 1000/- p.a. (For Individual) Rs. 1500/- p.a. (For Corporates)	In case value of holding is less than Rs. 50,000/-	In case value of holding is more than Rs. 50,000/- but less than Rs. 2,00,000/-	In case value of holding is more than Rs. 2,00,000/-	To be recovered up-front for financial year in the month of April every year.
Annual Maintenance Charge (Non Dormant Clients)	Rs. 650/- p.a. (For Individual) Rs. 1000/- p.a. (For Corporates)	NIL	Rs. 100/-	As applicable in Normal Scheme as opted by the Client	
4. Transaction Charges Sell Market and Off Market (Non-Speed-e clients)	Rs. 50/- per Scrip/ISIN upto value of 2.5 Lac, 0.025% above value of Rs. 2.5 Lac	Rs. 50/- per Scrip/ISIN upto value of 2.5 Lac, 0.025% above value of Rs. 2.5 Lac			Payable Up-front at the time of Delivery Slip
5. Transaction Charges Sell Market and Off Market (Speed-e clients)	Rs. 20/- per Scrip/ISIN upto value of 2.5 Lac, 0.025% above value of Rs. 2.5 Lac. Only market delivery	Rs. 20/- per Scrip/ISIN upto value of 2.5 Lac, 0.025% above value of Rs. 2.5 Lac. Only market delivery			Payable Up-front at the time of Delivery Slip
6. Dematerialisation Charges (Upfront)	0.75% of Total Value Rs. 80/- courier charges	0.75% of Total Value Rs. 80/- courier charges			Payable Up-front at the time of delivering share certificate
7. Rematerialisation Charges per Company/ISIN	Rs. 50/- Rs. 80/- courier charges	Rs. 50/- Rs. 80/- courier charges			Payable Up-front at the time of receiving request
8. Pledge (Creation / Closure / Invocation)	Rs. 50/- per Scrip/ISIN	Rs. 50/- per Scrip/ISIN			Payable Up-front at the time of request
9. DRF Rejection	Rs. 80/-	Rs. 80/-			Payable Up-front at the time of returning request
10. Rejection / Failed Instruction	Nil	Nil			
11. Instruction Booklet	Rs. 50/- for 10 Slips Rs. 100/- for 25 Slips	Rs. 50/- for 10 Slips Rs. 100/- for 25 Slips			Payable Up-front at the time of request
12. Modification Client Master	Rs. 150/-	Rs. 150/-			Payable Up-front at the time of request
13. Extra Correspondence	Rs. 200/-	Rs. 200/-			Payable Up-front at the time of request
14. Extra Statement Charges	Rs. 50/- per sheet	Rs. 50/- per sheet			Payable Up-front at the time of request

**\*\* Service tax would be charged separately as per the current applicable rate.**

### TERMS & CONDITIONS

- All BOs opting for the facility of BSDA, shall register their mobile number for availing the SMS alert facility for debit transactions.
- Additional physical statement beyond 2 statement per billing cycle shall be charged @ 25/- per Statement.
- Depository Charges of Broking Clients will be debited to their Trading account.
- Any other service, which is not mentioned above, will be charged separately as per the rates applicable from time to time. Out of pocket expenses including courier charges for overseas consignment will be charged extra on actual basis. Service Tax as applicable would be levied.
- All charges are subject to revision at the discretion of **CFS Financial Services Pvt. Ltd.** and revision in NSDL charges, after giving a notice of 30 days.
- All charges are payable on monthly basis and delayed payment will be liable for interest @ 2% per month.
- Adroit may suspend/freeze the depository services of the account holder on non-payment of outstanding bill.
- Any service not listed above will be charged extra.
- In case of account closure the AMC shall be levied /collected up to that quarter in which the account is closed. The AMC for balance quarters shall be refunded.

**Note. In case value of securities exceeds Rs. 200000/- the BSDA will automatically converted into normal demat account and charges as mentioned in Normal Scheme as opted by the client shall become applicable.**

Sole/First Holder Signature

Second Holder Signature

Third Holder Signature

This Agreement is made this \_\_\_\_\_ day of \_\_\_\_\_ ("This Agreement")

By and between \_\_\_\_\_ residing/situated at \_\_\_\_\_ having account no. \_\_\_\_\_ (hereinafter called "the Client")

And \_\_\_\_\_ situated at \_\_\_\_\_ (hereinafter called "the Participant")

In this Agreement, the Client and the Participant shall be collectively referred to as "the Parties" and severally as "the Party".

**WHEREAS**

- A. The Participant is a duly registered depository participant and is part of the NSDL's depository system.
- B. The Participant has been registered with NSDL to offer SPEED-e, a common infrastructure facility provided by NSDL, which enables account holders to issue instructions to depository participants through the Internet. The Participant has agreed to receive instructions relating to the accounts and to provide information services relating to the accounts of various Client through Internet.
- C. The Client has expressed its interest in availing of the services provided by the Participant using SPEED-e.
- D. The Parties have executed the Depository Services Agreement pursuant to which the Client owns, controls and operates accounts with NSDL through the Participant.
- E. The Parties have agreed to record in this Agreement the terms and conditions upon which the Client may participate in SPEED-e.

NOW THEREFORE in consideration of their mutual covenants and promises contained herein, and for good and valid consideration, the receipt, sufficiency and adequacy of which is hereby acknowledged, the Parties agree as under:

**1) Definitions and Interpretation**

In this Agreement, words and expressions listed in this Clause shall carry the meaning assigned to them in this Clause:

"Business Rules" shall mean the business rules prescribed by the NSDL in pursuance of the Bye-Laws.

"Bye-laws" shall mean the Bye-laws of NSDL.

"Clients" shall mean persons with whom the Participant has executed valid Depository Services Agreement.

"Depository Services Agreement" shall mean the "Agreement between the Participant and the Person seeking to open an account with the Participant" signed by the Client at the time of opening the account pursuant to Bye Law 9.1.5 and as prescribed in Annexure B of the Bye-Laws.

"NSDL" shall mean the National Securities Depository Limited, a depository registered with the Securities and Exchange Board of India under the Depositories Act, 1996, of which the Participant is a depository participant.

"PIN" shall mean the Personal Identification Number, either assigned to the Client or chosen by the Client pursuant to this Agreement, which may be necessary for the Client to access SPEED-e.

"Possessed Object" shall mean a smart card with a silicon chip or such other means capable of storing information and affixing digital signature which may be used by the Client for authorising any instruction issued by the Client to the Participant through SPEED-e.

"Pre-notified Accounts" shall mean accounts of such type and number as to which NSDL may permit the Client to transfer securities from the Client's account, if the Client uses only Remembered Information for the purposes of accessing SPEED-e.

"Remembered Information" shall mean and include such confidential information such as password, PIN or such other information used for authentication of the Client while making use of SPEED-e, as may be generated by the Client for access to SPEED-e.

"SPEED-eN shall mean the Internet-based common infrastructure facility provided by NSDL, which is being used by the Participant to accept Instructions from the Client and to provide information about Client's account in relation to the operation of the Client's account.

"this Agreement" shall mean this agreement between the Parties hereto and shall include the recitals, annexures and schedules, if any to this Agreement, and any modification or amendment hereof, in accordance with the terms hereof.

"Working Day" shall mean any day as defined in the Business Rules of NSDL.

In this Agreement, unless the context otherwise requires:

- (a) the singular includes the plural and vice versa;
- (b) words importing any gender include the other gender;
- (c) reference to any statute, ordinance or other law includes all regulations and other instruments and all consolidations, amendments, re-enactments or replacements for the time being in force;
- (d) all headings, bold typing and italics (if any) have been inserted for convenience of reference only and do not define limit or affect the meaning or interpretation of this Agreement;
- (e) reference to a Recital, Schedule, Annexure or Exhibit is to a Recital, Schedule, Annexure or Exhibit of or to this Agreement;




- (f) reference to a person includes a natural person, body corporate, unincorporated association, government or governmental, semi governmental or municipal entity; and
  - (g) reference to a party means a party to this Agreement and includes that party's successors, legal / personal representatives and permitted assignees,
- 2) The Participant shall from time to time prescribe to the Client the minimum specification of hardware and software that will be required by the Client to access SPEED-e. The Participant represents that the Participant has fully complied with all the technical and operational requirements prescribed for the Participant by NSDL.
  - 3) The Client shall have an option of either having a Possessed Object for validation of the Client's access to SPEED-e or generating the Client's own Remembered Information for validation of such access by the Client. From time to time, the Participant may prescribe to the Client, in accordance with instructions received by the Participant from NSDL, such measures as may be required to ensure the safety and security of the Client's access to and usage of SPEED-e and the Client shall abide by the same.
  - 4) The Client agrees to take adequate safety measures for accessing SPEED-e, including but not limited to:
    - a) In the event the Client has opted for Remembered Information for access to SPEED-e, the Client shall take all the necessary steps to ensure confidentiality and secrecy of the Client's Remembered Information used for authentication of the Client and shall not reveal the same to any employee, agent or official of the Participant or to any other person. The Client acknowledges that failure to adhere to safety and security measures prescribed by NSDL and the Participant could lead to a loss of confidentiality and secrecy of the Remembered Information, thereby exposing the Client to the risk of financial losses.
    - b) In the event the Client has opted for the Possessed Object, for the purpose of identifying the Client in the process of authenticating the Client's access to SPEED-e, the Client shall take all necessary steps to ensure that the Possessed Object is kept safely with itself and not to part with possession of the same to any third party. The Client shall also ensure that the safety instructions issued for the usage of the Possessed Object are strictly adhered to.
  - 5) The Client acknowledges that in the interests of the security of the Client's usage of SPEED-e, if the Client does not opt for Possessed Object as a means of authenticating the Client's access to SPEED-e, the Client shall be entitled to transfer securities only to the Pre-notified Accounts. Further, the Client shall submit to the Participant an undertaking in the enclosed format from the holder of the pre-notified account.
  - 6) The Client acknowledges that all instructions received by the Participant, authenticated pursuant to use of Remembered Information or Possessed Object shall be conclusive evidence of such instructions having been issued by the Client and shall be attributed to the Client. The Participant shall not be held liable for acting on the instructions so received.
  - 7) If the Client has reason to believe that the confidentiality of the Remembered Information has been compromised or that the Possessed Object has been lost/misplaced/stolen, the Client shall intimate the Participant forthwith about such compromise or loss. The Participant shall take best efforts to forthwith disable the Client's access to SPEED-e not later than one Working Day of receipt of such intimation from the Client. However, if any instructions are received prior to such disablement, the Participant shall not be liable, for losses, if any, arising out of execution of such instructions.
  - 8) In consideration of the access to SPEED-e, the Client agrees to pay such charges to the Participant as may agreed at the time of entering into this Agreement. The Participant shall reserve the right to revise the charges by giving the Client adequate advance notice, in no event lesser than thirty (30) days, to be issued either in writing or through intimation issued through SPEED-e.
  - 9) The Client agrees that in the event of the Client desiring to operate any joint account through SPEED-e, it shall be a condition precedent for usage of SPEED-e that all the joint holders shall execute a Power of Attorney favouring anyone individual who shall be deemed to be the user of the Remembered Information or the Possessed Object for access to SPEED-e and issue of instructions to the Participant through SPEED-e. The Client hereby ratifies and upholds all such instructions issued through SPEED-e using such Remembered Information or Possessed Object, as the case may be. In the event the Client changes the holder of the power of attorney, the Client shall deposit the new power of attorney with the Participant and ensure that authority to access SPEED-e is transferred from the old power of attorney holder to the new power of attorney holder. The usage of and access to SPEED-e using the Remembered Information or Possessed Object, as the case may be, shall be deemed to have been made by the holder of the power of attorney at the time of such access and use.
  - 10) The Client shall be entitled to change the Remembered Information on SPEED-e from time to time.
  - 11) The Client shall enter all its instructions in a timely manner to ensure that the same are capable of being received by the Participant by accessing SPEED-e at least one clear Working Day prior to the execution date expected by the Client. (For eg; if the execution date is on a Friday, the instructions must be received by SPEED-e latest by Wednesday) The receipt of instructions by SPEED-e shall be indicated by means of message receipt confirmation screen that shall be generated on the Client's computer screen, which shall be capable of being printed out for the Client's record. If the instructions are received by SPEED-e before the aforesaid deadline, the Participant shall be fully responsible for executing the same within the prescribed deadline. If the instructions are received by SPEED-e after the aforesaid deadline, the instructions may be executed by the Participant on a best-efforts basis, and the Participant shall not be liable for non-execution of the same. The Client further acknowledges that the Client shall not have any right to any claim against either the Participant or NSDL for losses, if any, incurred due to non-execution of such instructions received late and executed on a best-effort basis. In the event of any dispute relating to the date and time of receipt of the instructions on SPEED-e, NSDL's records shall be conclusive evidence and the Parties agree that NSDL's decision on the same shall be final and binding on both Parties.
  - 12) The Participant shall promptly inform the Client about the status of the instructions received pursuant to this Agreement, but in no case later than 12.00 noon of the next Working Day of execution date of instructions.
  - 13) The right to use SPEED-e by a Client cannot be assigned or transferred by the Client under any circumstances, otherwise than through valid issue of a power of attorney.
  - 14) The Client may request for termination of this Agreement at any time by giving a notice in writing or through SPEED-e to the Participant. The Participant shall terminate the service within fifteen (15) days of receipt of such notice.



- 15) This agreement unless terminated earlier shall be deemed to be terminated immediately on termination of the Depository Services Agreement.
- 16) The Client agrees to indemnify, keep indemnified and hold the Participant and NSDL harmless from any loss, damage, claim, suits, legal proceedings, investigations, expenses of every kind and any other liability whatsoever, including reasonable attorney's fees and fees of such experts as may be become necessary for NSDL and the Participant to engage, caused due to the availing of the services by the Client pursuant to this Agreement in any and all circumstances including without limitation, the following:
  - a) Falsehood or misrepresentation of any nature by the Client (or any person acting on behalf of the Client);
  - b) Failure to use a trustworthy system for access to Speed-e
  - c) Failure to take the precautions necessary to prevent the compromise, loss, disclosure, modification, or unauthorised use of the Client's Remembered Information, Possessed Object or the private key used to execute the Client's digital signature.
- 17) Notwithstanding anything contained herein, the Client recognises and acknowledges that the Participant may, in accordance with instructions received by the Participant from NSDL, prescribe such other security measures as it deems fit, in replacement of or supplementing the validation process through the use of Remembered Information or Possessed Objects, including without limitation the use of biometrics and such other methods as would validate the identity of the Client for access to the SPEED-e system. The Client agrees that a condition precedent for the Client's access to SPEED-e is that the Client shall provide such information, data, and access to its representatives and personnel, as may be designated by the Client as the users of the SPEED-e system in order to enable Participant to generate such biometric criteria or other criteria in accordance with the security measures prescribed by Participant, in accordance with instructions received by the Participant from NSDL.
- 18) The Participant may withdraw the access of the Client to SPEED-e at any time provided a notice of at least thirty (30) days is given to the Client. The Participant may suspend or terminate the service without prior notice if the Client has breached any of the terms and conditions of this Agreement or the Depository Services Agreement, or if the Participant learns of the death, bankruptcy, lunacy or loss of legal capacity of the Client.
- 19) Any dispute or difference between the Parties shall be resolved solely by means of reference to binding arbitration under the arbitration mechanism as provided in the Bye-Laws and Business Rules.
- 20) The above terms and conditions are in addition to and do not undermine in any manner whatsoever the terms and conditions forming a part of the Depository Services Agreement.
- 21) Each Party shall bear its own costs in the execution of this Agreement.

IN WITNESS WHEREOF, THE PARTIES HAVE EXECUTED THIS AGREEMENT BY SIGNIFYING THEIR ASSENT HERETO BY AFFIXING THEIR SIGNATURES HERETO AS OF THE DAY AND YEAR FIRST HEREINABOVE WRITTEN:

Signed and Delivered by

_____ (Sole/First Holder Name)	 _____ Sole/First Holder Signature	_____ (Witness)
_____ (Second Holder Name)	 _____ Second Holder Signature	_____ (Witness)
_____ (Third Holder Name)	 _____ Third Holder Signature	_____ (Witness)

(For and behalf of the Client)  
 (to be signed by either sole holder or by each of the joint holder)

I confirm having received the Power of Attorney and have read and understood the terms of this Agreement **(if applicable)**

\_\_\_\_\_  
 (Name & Signature)

**Power-of-Attorney Holder**

(to be signed by the Power of Attorney holder operating the account appointed by either sold holder or joint holders)

Signed and delivered by

_____ (for and behalf of the Participant)	_____ (Witness)
--	--------------------

Date : \_\_\_\_\_

Place : \_\_\_\_\_



To,

**CFS FINANCIAL SERVICES PVT. LTD.**

Dealing Office : DSS No. 13-14, HUDA Market, Sector-30,  
Faridabad-121001 (Haryana)

Date	D	D	M	M	Y	Y	Y	Y
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DP ID	I	N	3	0	1	9	1	8	Client ID								
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Dear Sirs,

I/We wish to register for SPEED-e facility as per the details given below. I/We are also enclosing letter from the prenotified account holder(s) in the prescribed format. I/We request you to activate my/our account.

**Registration Request No.** : 

--	--	--	--	--	--	--	--	--

**Account Details**

Sole/First Holder's Name : \_\_\_\_\_

Second Holder's Name : \_\_\_\_\_

Third Holder's Name : \_\_\_\_\_

**Pre-Notified Account(s)\***

1. Clearing Member Name : \_\_\_\_\_

CM-BP-ID : 

I	N							
---	---	--	--	--	--	--	--	--

2. Clearing Member Name : \_\_\_\_\_

CM-BP-ID : 

I	N							
---	---	--	--	--	--	--	--	--

3. Clearing Member Name : \_\_\_\_\_

CM-BP-ID : 

I	N							
---	---	--	--	--	--	--	--	--

\*strike-off whichever field is not applicable

**To be Signed by the account holder(s).**

Holder(s)	Name	Signature
Sole/First Holder		
Second Holder		
Third Holder		

**To be Signed by Power of Attorney (POA) Holder (if applicable)**

Holder(s)	Name	Signature
POA Holder		

**(Acknowledgement)**

Received SPEED-e application as per the details given below :

Registration No. : \_\_\_\_\_

Name of POA : \_\_\_\_\_

**Account Details**

Client ID : \_\_\_\_\_

DP ID : \_\_\_\_\_

Sole/First Holder's Name : \_\_\_\_\_

Second Holder's Name : \_\_\_\_\_

Third Holder's Name : \_\_\_\_\_

(DP's Stamp & Signature)

Name of Client : \_\_\_\_\_

Address : \_\_\_\_\_  
\_\_\_\_\_

Depository Account No. : (DP ID & Client ID)

Dear Sir / Madam,

We acknowledge your request to nominate our depository account as a pre-notified account for the purpose of receiving credits from your account. We have no objection for the same. We agree that if any securities are moved to our account which are not due to us for any reasons including but not limited to an error or fraud, we undertake to immediately return the securities to you. The details of our account are under :

CM-BP ID	I	N	5	5	8	5	7	0
	CM NAME - CFS FINANCIAL SERVICES PVT. LTD.							

Yours faithfully,

**CFS Financial Services Pvt. Ltd.**

Authorize Signatories

-----  
I/We hereby nominate the above holder as our pre-notified account where securities can be credited from my/our account using the common infrastructure through internet.



\_\_\_\_\_  
Sole/First Holder Signature



\_\_\_\_\_  
Second Holder Signature






\_\_\_\_\_  
Third Holder Signature

Authorized Signatory (ies)





**IN PERSON VERIFICATION**

DP Name : <b>CFS Financial Services Pvt. Ltd.</b> DP ID : IN301918	<b>Signature(s) of applicant(s) :</b> (in the presence of the DP staff)
HO/Branch Name : .....	 ..... Sole/First Holder Signature
Staff doing 'In person' Verification	
Name of Employee : .....	 ..... Second Holder Signature
Employee Code : .....	
Signature : #.....	
Date of in person verification : <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>	 ..... Third Holder Signature
Place of in person verification : .....	



## CFS Financial Services Pvt. Ltd.

DP : NSDL • DP ID : IN301918 • SEBI REGN. NO.: IN-DP-NSDL-162-2000

Dealing Office : DSS No. 13-14, HUDA Market, Sector-30, Faridabad - 121001 (Haryana)

Tel.: 0129-4263636 • E-mail : dp@cfstradecity.in • Website : www.cfstradecity.in

### ACKNOWLEDGEMENT RECEIPT

We hereby acknowledge the receipt of the Account Opening application form :

Name of the Sole/First Holder	
Name of the Second Holder	
Name of the Third Holder	

(Depository Participant Seal and Signature)